
State:	District of Columbia	Filing Company:	Travelers Casualty and Surety Company of America
TOI/Sub-TOI:	17.0 Other Liability-Occ/Claims Made/17.0006 Directors & Officers Liability		
Product Name:	PDO-19061 Endorsement Filing 2019-11-0012		
Project Name/Number:	PDO-19061 Endorsement Filing 2019-11-0012/2019-11-0012		

Filing at a Glance

Company:	Travelers Casualty and Surety Company of America
Product Name:	PDO-19061 Endorsement Filing 2019-11-0012
State:	District of Columbia
TOI:	17.0 Other Liability-Occ/Claims Made
Sub-TOI:	17.0006 Directors & Officers Liability
Filing Type:	Form
Date Submitted:	12/16/2019
SERFF Tr Num:	TRVD-132183343
SERFF Status:	Submitted to State
State Tr Num:	
State Status:	
Co Tr Num:	2019-11-0012
Effective Date	02/14/2020
Requested (New):	
Effective Date	02/14/2020
Requested (Renewal):	
Author(s):	Sandy Olson, Socorro Armstrong, Timothy Bengston, Linda Sperry, Julie Stuart, Stacy Mandelker, Theresa Lavenburg
Reviewer(s):	
Disposition Date:	
Disposition Status:	
Effective Date (New):	
Effective Date (Renewal):	

State: District of Columbia **Filing Company:** Travelers Casualty and Surety Company of America

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Project Name/Number: PDO-19061 Endorsement Filing 2019-11-0012/2019-11-0012

General Information

Project Name: PDO-19061 Endorsement Filing 2019-11-0012 Status of Filing in Domicile:
Project Number: 2019-11-0012 Domicile Status Comments:
Reference Organization: Reference Number:
Reference Title: Advisory Org. Circular:
Filing Status Changed: 12/16/2019
State Status Changed: Deemer Date:
Created By: Linda Sperry Submitted By: Socorro Armstrong
Corresponding Filing Tracking Number:

Filing Description:
2019-11-0012
Form Filing
Private Company Directors and Officers Liability
Modular Program

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA, 3548-31194, 06-0907370

In compliance with the insurance laws and regulations of your jurisdiction, we respectfully submit this filing for your review. The endorsement included in this submission was created for use with our Private Company Directors and Officers Liability PDO-3001 coverage form, previously approved under Company # 2010-01-0051 and SERFF # TRVE-126471877.

This submission consists of the following endorsement:

SIDE A CARVEBACK TO POLLUTION EXCLUSION ENDORSEMENT, PDO-19061 REV. 11-19
This endorsement will replace PDO-19061 Ed. 08-14. This endorsement creates a carveback to the pollution exclusion, under side A only, for a claim brought by a security holder of the insured Organization, either directly or derivatively. This endorsement is optional, broadens coverage, and has no rate impact.

We have included a red-lined copy of the endorsement to identify the specific revisions.

Please feel free to contact me if you have any questions.

Thank you for your consideration of this filing submission.

Company and Contact

Filing Contact Information

Linda Sperry, Sr. Regulatory Analyst LSPERRY1@travelers.com
One Tower Square 860-277-7096 [Phone]
Hartford, CT 06183

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Filing Company Information

Travelers Casualty and Surety Company of America	CoCode: 31194	State of Domicile: Connecticut
One Tower Square	Group Code: 3548	Company Type:
Hartford, CT 06183	Group Name: The Travelers Companies	State ID Number:
(860) 277-5660 ext. [Phone]	FEIN Number: 06-0907370	

Filing Fees

Fee Required?	No
Retaliatory?	No
Fee Explanation:	

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Form Schedule

Item No.	Schedule Item Status	Form Name	Form Number	Edition Date	Form Type	Form Action	Action Specific Data		Readability Score	Attachments
1		SIDE A CARVEBACK TO POLLUTION EXCLUSION ENDORSEMENT	PDO-19061 Rev. 11-19		END	Replaced	Previous Filing Number:	2018-01-0064, TRVD-131426781		PDO-19061-1119.pdf
							Replaced Form Number:	PDO-19061 Ed. 08-14		

Form Type Legend:

ABE	Application/Binder/Enrollment	ADV	Advertising
BND	Bond	CER	Certificate
CNR	Canc/NonRen Notice	DEC	Declarations/Schedule
DSC	Disclosure/Notice	END	Endorsement/Amendment/Conditions
ERS	Election/Rejection/Supplemental Applications	OTH	Other

SIDE A CARVEBACK TO POLLUTION EXCLUSION ENDORSEMENT

This endorsement changes the following:

Private Company Directors and Officers Liability

It is agreed that:

The following replaces section **IV. EXCLUSIONS, A. EXCLUSIONS APPLICABLE TO ALL LOSS, 3.:**

3. The Company will not be liable for Loss for any Claim:

- a. based upon or arising out of the actual, alleged, or threatened discharge, dispersal, seepage, migration, release, or escape of any **Pollutant**;
- b. based upon or arising out of any request, demand, order, or statutory or regulatory requirement that any **Insured** or others test for, monitor, clean up, remove, contain, treat, detoxify or neutralize, or in any way respond to, or assess the effects of, any **Pollutant**; or
- c. brought by or on behalf of any governmental authority because of testing for, monitoring, cleaning up, removing, containing, treating, detoxifying, or neutralizing, or in any way responding to or assessing the effects of, any **Pollutant**,

provided this exclusion will not apply to **Loss** for any **Claim**:

- a. brought directly or derivatively by one or more security holders of the **Insured Organization** in his or her capacity as such, including any **Security Holder Derivative Claim**, or
- b. covered under section **I. INSURING AGREEMENTS, A.**

Nothing herein contained shall be held to vary, alter, waive, or extend any of the terms, conditions, exclusions, or limitations of the above-mentioned policy, except as expressly stated herein. This endorsement is part of such policy and incorporated therein.

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Supporting Document Schedules

Bypassed - Item:	Readability Certificate
Bypass Reason:	Not applicable
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Consulting Authorization
Bypass Reason:	Not applicable
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Copy of Trust Agreement
Bypass Reason:	Not applicable
Attachment(s):	
Item Status:	
Status Date:	

Bypassed - Item:	Expedited SERFF Filing Transmittal Form
Bypass Reason:	Not applicable
Attachment(s):	
Item Status:	
Status Date:	

Satisfied - Item:	Red-line document
Comments:	
Attachment(s):	PDO-19061-1119-RL.pdf
Item Status:	
Status Date:	

SIDE A CARVEBACK TO POLLUTION EXCLUSION ENDORSEMENT

This endorsement changes the following:

Private Company Directors and Officers Liability

It is agreed that:

The following replaces section IV. EXCLUSIONS, A. EXCLUSIONS APPLICABLE TO ALL LOSS, 3.:

3. The **Company** will not be liable for **Loss** for any **Claim**:

- a. based upon or arising out of the actual, alleged, or threatened discharge, dispersal, seepage, migration, release, or escape of any **Pollutant**;
- b. based upon or arising out of any request, demand, order, or statutory or regulatory requirement that any **Insured** or others test for, monitor, clean up, remove, contain, treat, detoxify or neutralize, or in any way respond to, or assess the effects of, any **Pollutant**; or
- c. brought by or on behalf of any governmental authority because of testing for, monitoring, cleaning up, removing, containing, treating, detoxifying, or neutralizing, or in any way responding to or assessing the effects of, any **Pollutant**,

provided ~~that~~ this exclusion will not apply to **Loss** for any **Claim**:

- a. brought directly or derivatively by one or more security holders of the **Insured Organization** in his or her capacity as such, including any **Security Holder Derivative Claim**, ~~covered under section I. INSURING AGREEMENTS, A.~~
- b. covered under section I. INSURING AGREEMENTS, A.

Nothing herein contained shall be held to vary, alter, waive, or extend any of the terms, conditions, exclusions, or limitations of the above-mentioned policy, except as expressly stated herein. -This endorsement is part of such policy and incorporated therein.